

The Sumitomo Foundation



Agenda

Vietnam

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- Establishment of Sumitomo Foundation
- Companies, Selection(committee)
- Our Mission

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The Sumitomo Foundation

About us : Foundation of Sumitomo Group

The Origin of the Sumitomo Group of Enterprises goes back to the latter of the 17th century, when its copper refine business started in Kyoto, then the capital of Japan. In 1691, Tomoyoshi Sumitomo, the 4th founder, obtained a concession of the Besshi Copper Mine from the Tokugawa shogunate, which is the actual foundation of today's Sumitomo Group of Enterprises.



June, 2016

The Sumitomo Foundation

About us ~~Establishment of Sumitomo~~ Foundation

The Sumitomo Foundation was established as a non-profit grant-making foundation by the 20 Sumitomo group companies with the following mission in September 1991, in commemoration of the 300th anniversary of the Besshi Copper Mine.



The Sumitomo Foundation

1	<i>Sumitomo Chemical Co., Ltd.</i>
2	<i>Sumitomo Heavy Industries, Ltd.</i>
3	<i>Sumitomo Mitsui Banking Corporation</i>
4	<i>Nippon Steel & Sumitomo Metal Corporation</i>
5	<i>Sumitomo Metal Mining Co., Ltd.</i>
6	<i>Sumitomo Corporation</i>
7	<i>Sumitomo Mitsui Trust Bank Ltd.</i>
8	<i>Sumitomo Life Insurance Co.</i>
9	<i>Sumiseki Materials Co., Ltd.</i>
10	<i>The Sumitomo Warehouse Co., Ltd.</i>
11	<i>Sumitomo Electric Industries, Ltd.</i>
12	<i>Mitsui Sumitomo Insurance Co., Ltd.</i>
13	<i>Nippon Sheet Glass Co., Ltd.</i>
14	<i>NEC Corporation</i>
15	<i>Sumitomo Realty & Development Co., Ltd.</i>
16	<i>Sumitomo Osaka Cement Co., Ltd.</i>
17	<i>UACJ Corporation</i>
18	<i>Sumitomo Mitsui Construction Co., Ltd.</i>
19	<i>Sumitomo Bakelite Co., Ltd.</i>
20	<i>Sumitomo Forestry Co., Ltd.</i>

About Us: Companies, Selection

● Donating Companies (See Left Table)

● Selection

The selection of applications will be made by the Foundation's Selection Committee made up of certain persons of high academic standing.

The Foundation's Board of Directors will approve the grant based on the Committee's recommendations.

● Financial Background

Net Asset: J. ¥22.5 billion

as of April, 2016

The Sumitomo Foundation

About us : Mission

To contribute to the betterment of human society through conducting following 5 Grant Programs

1. Grant for Japan-Related Research Projects (in English or Japanese)

2. Grant for Projects for the Protection, Preservation & Restoration of Cultural Properties outside Japan (in English or Japanese)

3. Grant for Projects for the Protection, Preservation & Restoration of Cultural Properties in Japan (in Japanese only)

4. Grant for Environmental Research Projects (in Japanese only)

5. Grant for Basic Science Research Projects (in Japanese only)



Grant Program of the Foundation

FY 2016

We plan to make the following five grants;



	<i>Abbreviation</i>	<i>Projects</i>	<i>Location</i>	<i>Language</i>	<i>Application Period</i>
1	Japan-related	Grant for <u>Japan-Related Research Projects</u>	East and Southeast Asia	English or Japanese	<u>September 1 ~ October 31</u>
2	Cultural Worldwide	Grant for Projects to protect, preserve, and restore <u>Cultural Properties</u>	Worldwide (outside Japan)	English or Japanese	<u>October 1 ~ November 30</u>
3	Cultural Domestic	Grant for Projects to protect, preserve, and restore <u>Cultural Properties</u>	Domestic (in Japan)	Japanese	<u>October 1 ~ November 30</u>
4	Environmental	Grant for <u>Environmental Research Projects</u>	No Limit (Worldwide)	Japanese	<u>April 15 ~ June 30</u>
5	Basic Science	Grant for <u>Basic Science Research Projects</u>	No Limit (Worldwide)	Japanese	<u>April 15 ~ June 30</u>

Grant Program of the Foundation

Past Award History

Unit:Mil.J.Yen/(No.of Projects)

Grant Category	Fy02	Fy03	Fy04	Fy05	Fy06	Fy07	Fy08	Fy09	Fy10	Fy11	Fy12	Fy13	Fy14	Fy15	
Japan-Related	40 (58)	41 (57)	40 (57)	40 (58)	40 (50)	40 (58)	42 (67)	56 (82)	56 (82)	57 (80)	61 (94)	60 (83)	60 (83)	54 (77)	
Environmental research	105 (54)	100 (57)	100 (62)	100 (50)	100 (56)	100 (65)	100 (57)	110 (58)	110 (56)	110 (55)	110 (56)	110 (57)	110 (53)	100 (48)	
Basic Science	135 (83)	130 (88)	130 (92)	130 (95)	130 (105)	140 (114)	140 (93)	150 (95)	160 (91)	160 (93)	160 (92)	170 (100)	160 (98)	160 (98)	
Preservation Restoration of Cultural Properties	In	70 (38)	53 (29)	50 (25)	50 (25)	50 (26)	60 (28)	60 (27)	75 (37)	71 (39)	83 (43)	76 (41)	79 (47)	70 (42)	70 (37)
	Out	20 (9)	18 (8)	20 (8)	20 (10)	41 (17)	29 (13)	39 (16)	31 (15)	39 (17)	43 (21)	41 (22)	40 (19)	43 (18)	39 (17)
	Jpn														
Other Grants	6 (4)	4 (3)	6 (5)	5 (5)	6 (4)	3 (3)	2 (2)	4 (4)	2 (2)	7 (3)	3 (2)	5 (3)	5 (3)	5 (3)	
Total	376 (246)	345 (242)	346 (249)	346 (243)	367 (258)	372 (281)	383 (262)	426 (291)	439 (287)	460 (295)	451 (307)	464 (309)	448 (297)	428 (280)	

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Grant for Japan-related Research Projects

Outline of Japan-related Research Projects

● Purpose of the Program

The program aims at enhancing mutual understanding between Asian countries and Japan through promoting research projects in any field including the social sciences or humanities that is related to Japan.

● Eligible Projects for Application

Any research project to be carried out by individual or collaboration.

****This program is not a scholarship, fellowship or grant for the publication of research result alone.***

Not eligible Project:

- Research for profit making***
- Contracted research projects for a third party***
- Research projects which have been already substantially Completed.***

● Qualifications of Applicant

- Researcher of Asian Nationality (non-Japanese)***
- Researcher who is living outside Japan***

****Researcher who has a plan to stay in Japan for more than 6 months in the research period as a student or a visiting professor, etc. is requested to decline the application. (Awarded researcher must conduct the proposed research by himself or herself.)***

Grant for Japan-related Research Projects

Outline of Japan-related Research Projects

● Application Language

English or Japanese

● Expenses covered by the grant

Direct expenses for the proposed research such as; Remuneration for cooperator/assistant (**Not for applicant and/or collaborator (s)**), transport and accommodation domestic/overseas, communication and courier, rental/procurement of goods, printing/publication of research results, etc.

● Fiscal 2016 Program

- **Application Period** September 1 – October 31, 2016
(**Proposal arrival basis**)
- **Grant Period** April 2017 – March 2018
one year (**extendable up to two years w/specific reason**)
- **Grant Amount/project** (Develop your Plan by Japanese Yen or US\$)
to be decided upon screening by the Selection Committee appointed by the Foundation

* J¥ 0.3 to 1.1 million for fiscal 2015

** Max. amount/project : approx. J¥ 2.0 million

- **Total Budget**

Approx. Japanese Yen 50 million

Grant for Japan-related Research Projects

Number of Applications and Awards (by Country) 1991 - 2015

		91	92	93	94	95	96	97	98	99	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	TTL
China	Apply		3	24	29	34	19	78	55	124	96	64	72	90	114	88	129	125	113	130	122	96	95	67	87	90	1944
	Award		2	8	8	8	5	12	11	14	16	15	19	19	23	25	21	25	28	32	33	25	24	17	15	14	419
Taiwan	Apply				3	7	33	13	10	9	19	15	18	27	25	26	43	28	27	20	29	51	64	36	37	39	579
	Award				2	2	7	2	3	5	4	5	7	5	7	7	7	5	6	9	9	13	14	9	8	6	142
Korea	Apply	1	3	19	14	12	26	24	39	44	80	21	40	43	48	24	22	23	29	37	34	35	39	54	44	55	810
	Award	1	1	9	7	4	5	5	7	9	15	6	9	10	12	8	8	7	9	10	11	11	10	13	9	7	203
Thailand	Apply	1	2	7	6	10	23	21	20	20	19	14	6	21	26	19	23	18	23	29	16	8	24	23	20	13	412
	Award	1	2	2	3	3	5	4	4	3	3	4	1	4	2	3	1	4	4	7	5	4	8	6	6	3	92
Indonesia	Apply		2	6	7	10	53	27	48	41	55	36	25	23	14	19	15	23	17	20	31	36	43	58	69	115	793
	Award		2	3	2	3	5	4	6	5	4	4	4	3	2	3	1	3	3	4	5	8	9	12	14	13	122
Malaysia	Apply		3	12	3	3	5	11	11	17	7	15	10	17	18	13	13	6	13	25	48	38	49	61	103	187	688
	Award		2	3	2	1	3	2	2	2	1	3	3	5	3	3	2	3	4	7	6	6	11	10	11	13	108
Philippines	Apply		2	4	1	10	21	21	25	15	20	9	10	20	14	13	10	10	19	16	9	9	14	18	22	35	347
	Award		2	1	1	3	4	4	4	3	6	4	2	3	3	3	3	4	6	5	4	4	6	6	6	5	92
Singapore	Apply		1					2	4	6	2		3	3	2	1	3	1	1	3	7	3	1	3	4	2	52
	Award		0					1	1	2	1		2	3	0	0	2	0	0	1	3	2	1	0	3	1	23
Vietnam	Apply			1	1	5	13	22	29	41	29	16	31	21	34	24	28	17	21	19	22	21	30	42	52	51	570
	Award			1	1	2	4	5	6	5	5	5	9	5	4	6	4	5	5	6	5	6	10	8	8	8	123
Others	Apply		2	1	1	2		4	2	1	5	3	2	2	5	8	4	7	4	6	2	8	8	6	10	27	120
	Award		1	0	0	0		0	1	0	0	1	2	0	1	0	1	2	2	1	1	1	1	2	3	7	27
TOTAL	Apply	2	18	74	65	93	193	223	243	318	332	193	217	267	300	235	290	258	267	305	320	305	367	368	448	614	6,315
	Award	2	12	27	26	26	38	39	45	48	55	47	58	57	57	58	50	58	67	82	82	80	94	83	83	77	1,351

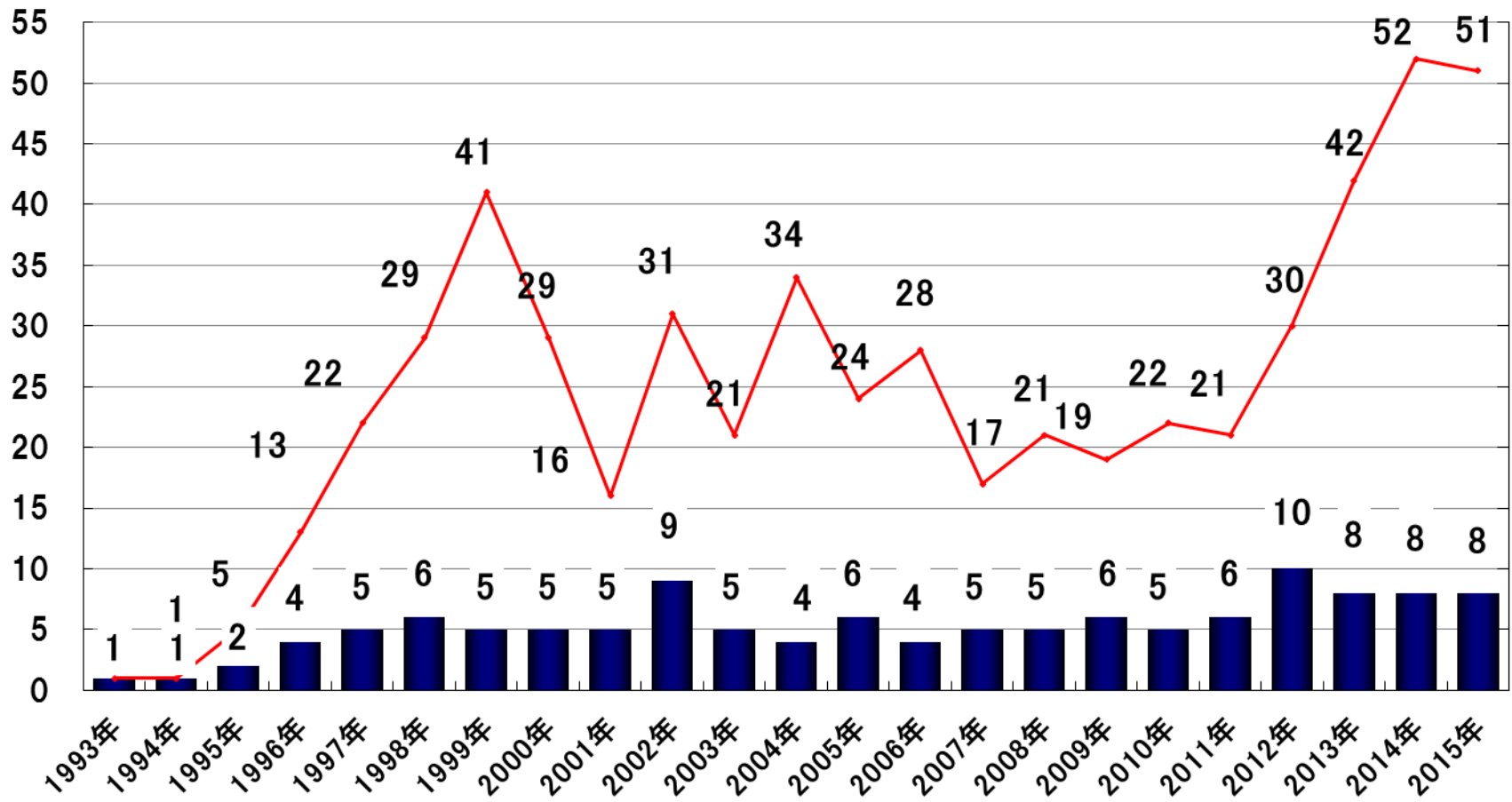
* Others: Bangladesh, India, Myanmar, Nepal, Sri Lanka, Laos, Mongolia, Cambodia, Uzbekistan, Iran, Iraq

Grant for Japan-related Research Projects

Vietnam : # of Application and Award History

Applications Total : 570

Awards Total : 123



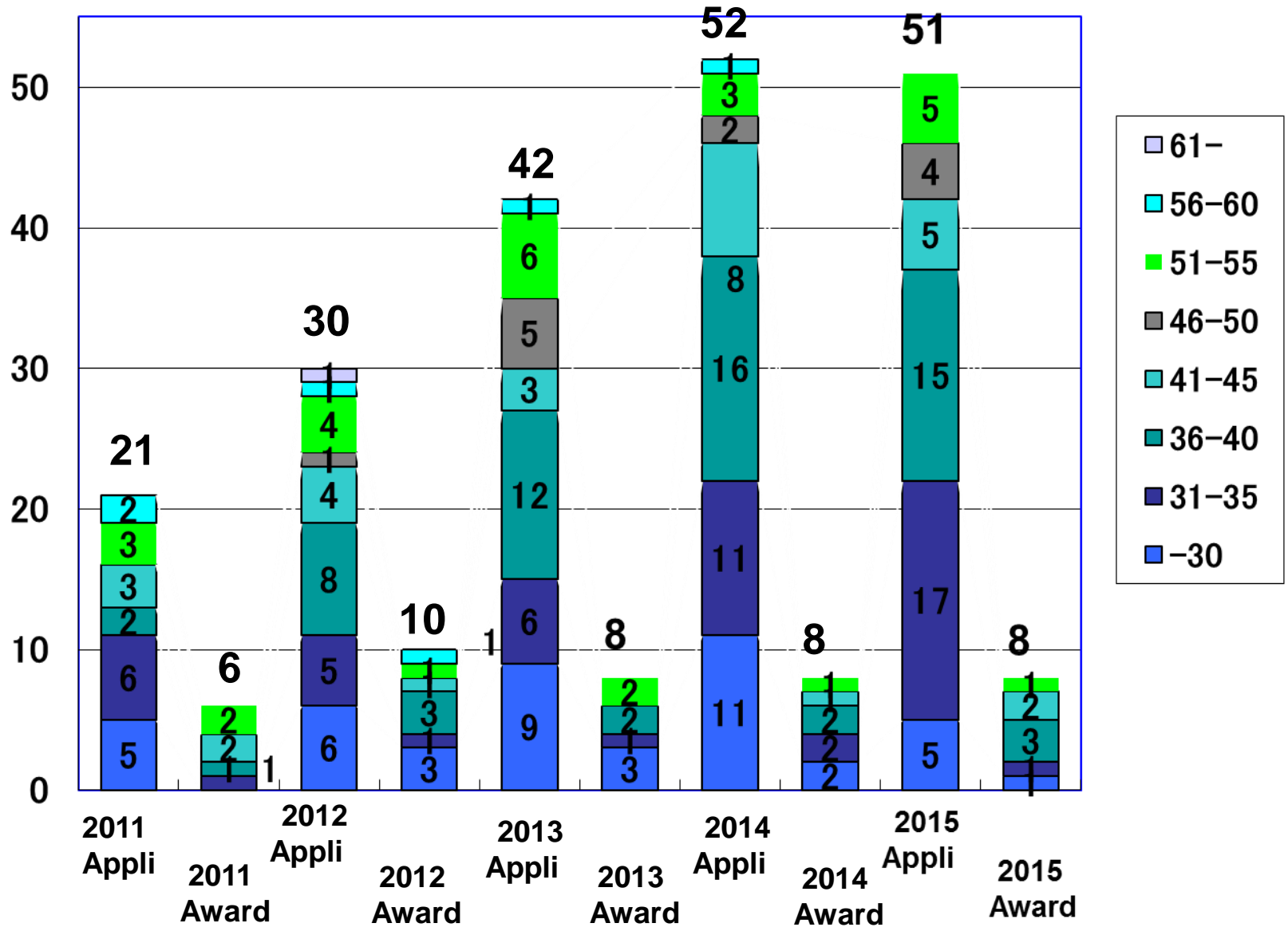
Grant for Japan-related Research Projects

Top Institute/Universities in Vietnam by Number of Applications and Grants

1993 - 2015

Institution/University	93	94	95	96	97	98	99	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	G-Total																										
	Apply	Apply	Award	Apply	Award	Apply	Award	Apply	Award	Apply	Award	Apply	Award	Apply	Award	Apply	Award	Apply	Award	Apply	Award	Apply	Award	Apply	Award																									
VASS/Hanoi		1	4	2	5	1	9	1	9	4	25	4	17	3	6	2	16	6	12	4	15	16	4	17	2	8	2	8	3	9	3	8	1	8	3	12	3	7	1	9	1	8	5	229	56					
VASS/Ho Chi Minh					3	2	6	2	10	1	5	1	2		3		1	2	1																			2		0		0		35	6					
VASS Total		1	4	2	8	3	15	3	19	5	30	4	18	3	8	2	19	6	13	4	17	1	16	4	17	2	8	2	8	3	9	3	8	1	8	3	12	3	9	1	9	1	8	5	264	62				
Vietnam National University, Hanoi	1	1					2		4	1	1		4	3	2	5	3	1		8	2	3	1	5	2	2	2	3		4	1	4	2	5	2	4	1	9	1	7	2	8	1	83	24					
Vietnam National University, Ho Chi Minh City				2				2	1	2	1		1	3		1			2	1	2	1	4	2		4	2	5	2	6	1	10	1										47	9						
Foreign Trade University(Hanoi)									1	1	1	1			1	1				2	1	1	2	1					2	1	2		12	1	5									30	6					
Hue University						4		1	1	1	1	1					3	1	1	1		1																							2	17	0			
Hanoi Agricultural University														1	1			3	1	2	1		1	1																						13	6			
Gan Tho University																																															8	2		
Ho Chi Minh National Political Academy				1	2	1	1					2	1																																	8	0			
University of Economics Ho Chi Minh City								1	1														1																								7	1		
Ho Chi Minh City University of Pedagogy												1	1																																			7	1	
Hanoi University of Science and Technology																																																	6	2
Others	0	0	0	1	0	2	1	3	2	1	0	6	0	3	1	1	0	4	0	2	0	5	1	4	0	2	0	4	0	1	0	3	0	1	0	2	0	2	0	6	3	8	0	12	2	9	0	80	10	
Vietnam Total	1	1	1	5	2	13	4	22	5	29	6	41	5	29	5	16	5	31	9	21	5	34	4	24	6	28	4	17	5	21	5	19	6	22	5	21	6	30	10	42	8	52	8	51	8	570	123			

Vietnam : # of Application and Award by Age Group 2011-2015



Grant for Japan-related Research Projects

Notes on the Application Form

1. Please fill in the Application Form in black with computer or typing machine, using font size of 10-point or bigger where applicable and described as such. Handwritten application is not acceptable. The Application Form shall not be stapled or bound.
2. The Application must carry the **applicant's original signature**.
3. Neither supplemental/additional papers/materials to the Application Form nor any forms other than the specified Application Form are acceptable for evaluation. The application Form modified/alterred in its space(s) by the applicant is not acceptable for evaluation.
4. Photo-copied Application Form may be used.
5. Please fill in the column "Residence", in page 1, with any place where the applicant stays other than home or accommodation on a business trip or other travel, which can be accessible by The Sumitomo Foundation at any time, if any.
6. Please fill in "Line Item of Applied Grant Amount (Fiscal 2016)" in page 8 clearly, referring to the back side of this instruction sheet ("Categories for Applicable Expenses"). Please specify (i) routes for business trips or other travels as "Expenses for Transportation" and (ii) places of accommodations for business trips or other travel as "Expenses for Accommodations".

Documents to be submitted

1. The application form prescribed by The Sumitomo Foundation, filled out and duly signed by the applicant in Japanese or English----- One original copy
2. **A letter of recommendation**, free-form, in Japanese or English from a person with an academic background, regarding the proposed research, the applicant and collaborator(s)- One original copy

Grant for Japan-related Research Projects

Categories for Applicable Expenses

Category	Explanation
Expenses for transportation: ① Domestic ② Overseas(*)	Expenses for transportation for: ① Domestic business trips or other travels ② Overseas business trips or other travels (including expenses for proceedings, insurance, taxes etc.)
Expenses for accommodations: ① Domestic ② Overseas	Expenses for accommodations for: ① Domestic business trips or other travels ② Overseas business trips or other travels
Expenses for communication and transportation	Communication (e.g., telephone, mail) and transportation (e.g., courier)
Remuneration for research cooperators/assistants	Remuneration for cooperation/assistant work such as interpretation, translation, stenography, conduct of prescribed questionnaires, etc. (Remuneration for the applicant and/or collaborator(s) is <u>not</u> applicable.)
Rental/Procurement of goods	Rental of special purpose equipment, computer software and data, etc. and procurement of books, materials, consumables, etc.
Expenses for printing and publishing	Expenses for printing (including copying services) and publishing as the publication of the research results
Expenses for meetings and others	Expenses for meetings etc.

The following items are not applicable:

- ① **Remuneration for services rendered by the applicant and/or his/her collaborator(s).**
- ② **Administrative expenses of universities, research institutes, or other organizations to which the applicant and/or collaborator(s) belong.**
- ③ **Expenses for procurement of any instruments, apparatuses, or equipment for general use such as PC, Server, Camera, and others.**
- ④ **Food & Beverage**

(*)Air fare must be no more than economy-class actual fare for the shortest routes between the nearest airports.

Grant for Japan-related Research Projects

FY2016 Scheduling

- Application Period: September 1~October 31, 2016
- Evaluation: November ~ Beginning/February, 2017
- Decision making at BOD: Beginning/March,2017
- Notification to applicants: Middle/March,2017
- Agreement: End/March,2017~April,2017
- Payment: End/March,2017~April,2017
- Start of Research: April,2017
- Completion of research: March,2018~March,2019
- Completion/Financial Report:June,2018~June,2019

COMPLETION REPORT

6

The Sumitomo Foundation ("Foundation")
1-12-16 Shibadaimon
Minato-ku, Tokyo 105-0012
Japan

Pursuant to Paragraph 3(1) of the Memorandum of Understanding ("MOU") dated _____, 2012 between Foundation and myself, I hereby notify Foundation of the completion of the Research (as defined in the MOU) as described below.

Affiliation: _____

By (Signature): _____

Name (Print or Type): _____

Date : _____, 2012

- Note: (1) Print or type the result of your Research **in English with 400~500 words**. As the content of this "COMPLETION REPORT" will be opened to the public on the web-site of Foundation, you are requested to provide Foundation, with the same content in the form of electronic file by e-mail.
- (2) If necessary, use an extra sheet.
- (3) "COMPLETION REPORT" (and "FINANCIAL REPORT" as well) shall be submitted to Foundation within 3 months after expiration of the duration of your research project.
- (4) If you plan to make or made the results of the Research available to the public by any media (such as published academic papers or issues, etc.), please fill in the following format, wherever applicable.

Publication of the Results of Research Project:

Verbal Presentation (Date, Venue, Name of Conference, Title of Presentation, Presenter, etc.)
Thesis (Name of Journal and its Date, Title and Author of Thesis, etc.)
Book (Publisher and Date of the Book, Title and Author of the Book, etc.)

The Sumitomo Foundation ("Foundation")
 1-12-16 Shibadaimon
 Minato-ku, Tokyo 105-0012
 Japan

Pursuant to Paragraph 3(1) of the Memorandum of Understanding ("MOU") dated _____, 2012 between Foundation and myself, I hereby notify Foundation of the finance of the Research (as defined in the MOU) as described below and as performed in accordance with Paragraph 2 of the MOU.

By (Signature): _____
 Name (Print or Type): _____
 Date : _____, 2012

(Unit: J¥ or US \$)

Categories	Agreed Amount of the Grant (A)		Actual Expenditure of the Grant (B)		Balance (A) - (B)		Details on (B)
Total							

Note: (1) Print or type in English or Japanese and, if necessary, use an extra sheet.
 (2) Return to Foundation within 3 months after expiration of the duration of the Research.

Contact The Sumitomo Foundation!

Home Page:

<http://www.sumitomo.or.jp/>

(Japanese)

<http://www.sumitomo.or.jp/e/index.htm>

(English)

E-mail: sumitomo-found@msj.biglobe.ne.jp